

**Presidio Merchant Partners LLC**  
Privacy and Preparedness Notice

This Notice is provided on behalf of Presidio Merchant Partners LLC and its registered representatives (collectively “PMP”).

We recognize our obligation to keep information about you secure and confidential. It’s important for you to know that we do not sell your information to anyone. We restrict access to non-public information about you to those representatives and employees who need to know that information to provide services to you. We also maintain physical, electronic and procedural safeguards to guard your non-public information.

We collect information about you from our discussions with you, from publicly available sources and from documents that you may deliver to us in the course of our providing services to you. We may use this information to provide financial advisory services for you. In order to do so, we may provide your information to firms that assist us in providing services to you and have a need for such information. We may also disclose such information to FINRA, the Securities and Exchange Commission, the State of California and other states or regulatory agencies that oversee our activities.

If you prefer that we not disclose non-public information about you to non-affiliated third parties (other than those disclosures required by law), you may opt out of those disclosures (that is, you may direct us not to make those disclosures). If you wish to opt out of disclosures to non-affiliated third parties, you may contact us at 720.844.3159.

**State Laws:** Certain individual states also have enacted privacy laws and regulations to protect their citizens. Our privacy practices comply with all applicable laws. If a state’s privacy laws are more restrictive than those stated in this notice, we will comply with those laws.

**Privacy Notice for California Residents:** The California Consumer Privacy Act Notice supplements the information stated above and applies to residents of California. The Notice covers the information and our activities subject to the California Consumer Privacy Act, as amended by the California Privacy Rights Act (collectively, “CCPA”). Details of the Act may be found at [California Consumer Privacy Act \(CCPA\) | State of California - Department of Justice - Office of the Attorney General](#).

**Anti-Money Laundering Procedures**

To help the government fight the funding of terrorism and money laundering activities, Federal law requires us to obtain, verify and record information that identifies each person or company that we provide financial services to. This means that when you begin doing business with us, we will ask for information that will allow us to identify you. We may also request documentation concerning the legal formation of your organization.

**Preparedness/Business Continuity Plan**

PMP has developed a Business Continuity Plan describing how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur.

If after a significant business disruption you cannot contact us as you usually do, you should call our alternative number: 720.844.3159.

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our Business Continuity Plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Please call Susan Stein at 720.844.3156 if you have any questions or concerns.